2-16-05

TEW

(Under	FORMATION DISCLOSU 7 37 CFR 1.97(b) or 1.97(c)	c))	57294-021
In Re Application: Roger Cady		FEB 1 520	2005
Serial No.	Filing Date	Examiner	Group Art Unit
10/808,008	03/24/2004	Kam, Chih Min	1653
Title: METHOD AND ARTICLE FOR APPLICATION OF BOTULINUI		NEURON RELATED DISORDER	S THROUGH THE
	Paymer	nt of Fee	
(Only	complete if Applicant elects to	pay the fee set forth in 37 CFR 1.17	7(p))
as described below. ☐ Charge the a ☑ Credit any ov	s hereby authorized to charge a A duplicate copy of this sheet is amount of \$	nd credit Deposit Account No. s enclosed.	08-3460
		Certificate of Mailir	ng by Express Mail
Husch & Epp 190 Carondel St. Louis, MC 314-480-1500 314-480-1500 Date: February 15, 2005	O 63105 O	I certify that this document and February 15, 2005 Service as Express Mail ur addressed to Mail Stop, Communication Box 1450, Alexandria, VA 221  Express Mail No.: EV331017052  Signature of Person Mail Karen K. S	with the U.S. Postal nder 37 C.F.R. 1.10 and missioner for Patents, P.O. 131-1450  2US  Sonsteby
Customer No: 029493		Karen K. S  Typed or Printed Name of Pe	

	FORMATION DISCLOSU	JRE STATEMENT	Docket No.	
(Under 37 CFR 1.97(c)			57294-021	
In Re Application Of:				
Roger Cady				
Serial No.	Filing Date	Examiner	Group Art Unit	
10/808,008	03/24/2004	Kam, Chih Min	1653	
Title:				
METHOD AND ARTICLE FOR APPLICATION OF BOTULINUI		NEURON RELATED DISOR	DERS THROUGH THE	
(O, 63)	Add	ress to:		
A S MARS H		L STOP ner for Patents		
FEB 1 5 2005 H	P.O. E	30x 1450		
A December 1	Alexandria, v	VA 22131-1450		
	37 CFI	R 1.97(c)		
Pursuant to 37 CFR 1.97(b), the references listed on the attached PTO Form SB 08A are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the references are enclosed as necessary. This Information Disclosure Statement is filed on or before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
	specified in 37 CFR 1.97(e);			
	OR			
the fee set fortr	n in 37 CFR 1.17(p).			
	Statement Under	37 CFR § 1.97(e)(1)		
Each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of the foreign search report is enclosed.				

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/808,008

 Filing Date
 03/24/2004

 First Named Inventor
 Roger Cady

 Art Unit
 1653

 Examiner Name
 Kam. Chih Min

(Use as many sheets as necessary)

Substitute for form 1449/PTO

Sheet 1 of 2 Attorney Docket Number 57294.021

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		<sup>US-</sup> 5,714,468	02/03/1998	Binder	
_		<sup>US-</sup> 6,113,915	09/05/2000	Aoki et al.	
		<sup>US-</sup> 2002/0102275	08/01/2002	Graham	
		<sup>US-</sup> 2004/0009180	01/15/2004	Donovan	
		US-			<u>-</u>
		US-			
		US-	·····		
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
		DE019852981A1	05/18/2000	Martin Schmidt		V
						<u></u>
						<u> </u>

Examiner		Date	
Signature		Considered	
•		1	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/808,008	
INFORMATION DISCLOSURE	Filing Date	03/24/2004	
STATEMENT BY APPLICANT	First Named Inventor	Roger Cady	
(Use as many sheets as necessary)	Art Unit	1653	
(coo at many should at housestary)	Examiner Name	Kam, Chih Min	
Sheet 2 of 2	Attorney Docket Number	57294-021	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
		International Search Report		

Examiner Signature Date Considered			
	Examiner	Date	
	Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 
2 Applicant is to place a check mark here if English language Translation is attached. 
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.